

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:  
Soo-Kyung Kim  
Serial No:  
Filed: Herewith  
For: NEAR FIELD OPTICAL RECORDING DEVICE

Art Unit:  
Examiner:

J1059 U.S. PRO  
10/013081  
12/10/01

Box PATENT APPLICATION  
U.S. Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

Transmitted herewith for filing is the patent application identified above.

5 sheet(s) of drawings ( formal  informal) is(are) enclosed.  
 16 page(s) of specification and 1 page(s) of abstract of the invention are enclosed.  
 An assignment of the invention to LG Electronics Inc.  is enclosed  will follow.  
 An associate power of attorney  is enclosed  will follow.  
 Inventor(s) or Assignee(s) is entitled to small entity status under 37 C.F.R. §§ 1.9 & 1.27.  
 Declaration and Power of Attorney  is enclosed  will follow.  
 A certified copy of Korean Patent Application No. 2000-76436 filed December 14, 2000 from which priority is claimed under 35 U.S.C. § 119  is enclosed  will follow.  
 IDS enclosed ( with references).  
 Preliminary Amendment is enclosed.

CALCULATION OF FEES								
ITEM		TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE		\$ AMOUNT	\$ FEE
A	TOTAL CLAIMS FEE	21	-20	1	LG=\$18 SM=\$9	\$ 0	\$ 18	
B	INDEPENDENT CLAIMS FEE*	2	-3	0	LG=\$84 SM=\$42	\$ 0	\$ 0	
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)							
D	MULTIPLE-DEPENDENT CLAIMS FEE					LARGE ENTITY FEE = \$280 SMALL ENTITY FEE = \$140		\$
E	BASIC FEE					LARGE ENTITY FEE = \$740 SMALL ENTITY FEE = \$370		\$ 740
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)							\$ 758
G	ASSIGNMENT RECORDING FEE						\$ 40	\$ 40

\*LIST INDEPENDENT CLAIMS [LIST OF INDEPENDENT CLAIMS]

A check in the amount of \$ 758 to cover the filing fee is enclosed.  
 A check in the amount of \$ 40 to cover Assignment Recordation fee is enclosed.

Respectfully submitted,  
LEE & HONG

Date: December 10, 2001

By: Amit Sheth  
Jonathan Y. Kang, Reg. No. 38,199  
Amit Sheth, Reg. No. P-50,176  
Attorney for Applicant(s)

221 N. Figueroa Street, 11th Floor  
Los Angeles, California 90012  
Telephone: (213) 250-7780  
Facsimile: (213) 250-8150

12/10/01  
J1132 U.S. PTO

12-12-01 A

PATENT  
Attorney Docket No: 2080-3-56

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:  
Soo-Kyung Kim  
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For: NEAR FIELD OPTICAL RECORDING DEVICE

Parent Art Unit:  
Examiner: --

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CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

"Express Mail" Mailing Label No. EF054878383US

Date of Deposit: December 10, 2001

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P.O. Box 2327  
Arlington, VA 22202

Dear Sir:

I hereby certify that

- one copy of a letter of transmittal
- check in amount of \$ 758 as filing fee
- patent application (16 page(s) of specification; 21 claim(s); 1 page(s) of abstract
- 5 sheet(s) of formal drawings
- An assignment of the invention to LG Electronics Inc.  is enclosed  will follow.
- executed Declaration and Power of Attorney
- certified copy of patent Application No. 2000-76436 filed December 14, 2000 from which priority is claimed in the subject case pursuant to 35 U.S.C. § 119  will follow
- Associate Power of Attorney
- IDS transmittal
- PTO-1449 form
- return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Box PATENT APPLICATION  
P.O. Box 2327  
Arlington, VA 22202

Date: December 10, 2001

Lee & Hong

221 N. Figueroa Street, 11<sup>th</sup> Floor  
Los Angeles, California 90012-2601  
Telephone: 213-250-7780  
Facsimile: 213-250-8150

Amit Sheth  
Name of person mailing papers

Amit Sheth

Signature